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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket <u>N</u>o. ZiQiang Zhu First Inventor

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(Only for new nonprovisional applications under 37 CFR 1.53(b)) APPLICATION ELEMENTS

Country

Name (PrintITy

City

APPLICATION ELEMENTS	ADDRESS TO: Assistant Commissioner for Patents Box Patent Application						
See MPEP chapter 600 concerning utility patent application contents.	Washington, DC 20231						
1. Tee Transmittal Form (e.g., PTO/SB/17) Submit an original and a duplicate for fee processing	7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)						
2. Applicant claims small entity status. See 37 CFR 1.27.	8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)						
3. X Specification [Total Pages 13]	a. Computer Readable Form (CRF)						
Descriptive title of the invention Cross Reference to Related Applications Statement Regarding Fed sponsored R & D	b. Specification Sequence Listing on:						
 Reference to sequence listing, a table, or a computer program listing appendix Background of the Invention 	i i. paper c. Statements verifying identity of above copies						
 Brief Summary of the Invention Brief Description of the Drawings (if filed) 	ACCOMPANYING APPLICATION PARTS						
Detailed Description Claim(s)	9. X Assignment Papers (cover sheet & document(s)) 37 CFR 3.73(b) Statement Power of						
- Abstract of the Disclosure	10. (when there is an assignee) Attorney						
4. X Drawing(s) (35 U.S.C. 113) [Total Sheets 6]	11. English Translation Document (if applicable)						
5. Oath or Declaration [Total Pages 3]	12. X Information Disclosure Statement (IDS)/PTO-1449 Citations						
a. X Newly executed (original or copy)	13. Preliminary Amendment						
b. Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 18 completed)	14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
DELETION OF INVENTOR(S)	15. Certified Copy of Priority Document(s) (if foreign priority is claimed)						
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR	Nonnublication Request under 35 U.S.C. 122						
1.63(d)(2) and 1.33(b).	16. (b)(2)(B)(i). Applicant must attach form PTO/SB/35						
6. Application Data Sheet. See 37 CFR 1.76	or its equivalent.						
18. If a CONTINUING APPLICATION, check appropriate box, and supply or in an Application Data Sheet under 37 CFR 1.76:	the requisite information below and in a preliminary amendment,						
Continuation Divisional Continuation-in-part (CIP)	of prior application No.:						
Prior application information: Examiner	Group Art Unit:						
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.							
19. CORRESPONDEN							
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Fax

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Registration No. (Attorney/Agent)

43,325

Signature omplete. Time will vary depending upon the needs of the individual case. Any comments on Burden Hour Statement: This form is stimated the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 2023

Telephone

PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0039

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Complet if Known TRANSMIT Application Number Filing Date for FY 2003 Zhu ZiQiang First Named Inventor Effective 01/01/2003. Patent fees are subject to annual revision. **Examiner Name** Applicant claims small entity status. See 37 CFR 1.27 Art Unit TOTAL AMOUNT OF PAYMENT (\$) 790.00 Attorney Docket No.

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)						
X Check Credit card Money Other None	3. A	3. ADDITIONAL FEES					
Order L	Large	Large Entity Small Entity					
Deposit Account:	Fee	Fee		Fee	Fee Description		
Deposit Account	Code		ŀ	(\$)		Fee Paid	
Number Deposit	1051	130	2051		Surcharge - late filing fee or oath	<u> </u>	
Account	1052	50	2052	. 25	Surcharge - late provisional filing fee or cover sheet		
Name The Commissioner is authorized to: (check all that apply)	1053	130	1053	130	Non-English specification		
Charge fee(s) indicated below Credit any overpayments	1812	2,520	1812	2,520	For filing a request for ex parte reexamination		
Charge any additional fee(s) during the pendency of this application	1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge fee(s) indicated below, except for the filing fee	1805	1,840*	1805	1,840*	Requesting publication of SIR after		
to the above-identified deposit account.					Examiner action		
FEE CALCULATION	1251	110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE	1252	410	2252	205	Extension for reply within second month		
Large Entity Small Entity	1253	930	2253	465	Extension for reply within third month		
Fee Fee Fee Fee Description Fee Paid Code (\$)	1254	1,450	2254	725	Extension for reply within fourth month		
1001 750 2001 375 Utility filing fee	1255	1,970	2255	985	Extension for reply within fifth month		
1002 330 2002 165 Design filing fee	1401	320	2401	160	Notice of Appeal		
1003 520 2003 260 Plant filing fee	1402	320	2402	160	Filing a brief in support of an appeal		
1004 750 2004 375 Reissue filing fee	1403	280	2403	140	Request for oral hearing	,	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	.1,510	Petition to institute a public use proceeding		
SUBTOTAL (1) (\$) 750.00	1452	110	2452	55	Petition to revive - unavoidable		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1453	1,300	2453	650	Petition to revive - unintentional		
Fee from	1501	1,300	2501	650	Utility issue fee (or reissue)		
Extra Claims below Fee Paid	1502	470	2502	235	Design issue fee		
Total Claims 19 -20** = 0 X 18 = 0 Independent 3 -3** = 0 X 84 = 0	1503	630	2503	315	Plant issue fee		
Independent 3 - 3** = 0 x 84 = 0 Claims Multiple Dependent	1460	130	1460	130	Petitions to the Commissioner		
	1807	50	1807	7 . 50	Processing fee under 37 CFR 1.17(q)		
Large Entity Small Entity Fee Fee Fee Fee Fee Description	1806	180	1806		Submission of Information Disclosure Stmt		
Code (\$) Code (\$)	8021	40	802	1 40	Recording each patent assignment per property (times number of properties)	40	
1202 18 2202 9 Claims in excess of 20 1201 84 2201 42 Independent claims in excess of 3	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))		
1203 280 2203 140 Multiple dependent claim, if not paid	1810	750	2810) 375	For each additional invention to be		
1204 84 2204 42 ** Reissue independent claims				8 -	examined (37 CFR 1.129(b))	**************************************	
over original patent	1801	750	2801		Request for Continued Examination (RCE)		
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application		
SUBTOTAL (2) (\$) 0.00 Other fee (specify)							
**or number previously paid, if greater; For Reissues, see above *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00						0.00	

SUBMITTED BY					(Complete (if applicable)
Name (Print/Type)	Wei To Ch	nund I	Registration No. (Attorney/Agent)	43,325	Telephone 408-919-6137
Signature	/ / / /	Wy W			Date In 2). do

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggistions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.